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Application Number. Application Number 09/349.198 TRANSMITTAL Filing Date July 7, 1999 **FORM** First Named Inventor Christopher F. Parker Art I Init 3624 (to be used for all correspondence after initial filing) **Examiner Name** Ella Colbert Attorney Docket Number 56129861-26 Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance communication Fee Transmittal Form Drawing(s) to Technology Center (TC) Appeal Communication to Board Licensing-related Papers Fee Attached of Appeals and Interferences Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) Amendment/Reply Petition to Convert to a Proprietary Information After Final Provisional Application Power of Attorney, Revocation Status Letter Affidavits/declaration(s) Change of Correspondence Address Other Enclosure(s) (please Terminal Disclaimer **Extension of Time Request** Identify below): one reference; copy of European Request for Refund **Express Abandonment Request** Supplementary Search Report; postcard. PTO/SB08B form. CD, Number of CD(s) Information Disclosure Statement Remarks Certified Copy of Priority RECEIVED Document(s) Response to Missing Parts/ NOV 1 2 2003 Incomplete Application Response to Missing Parts GROUP 360b under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Eunhee Park Firm Baker & McKenzie Individual name Signature Date November 5, 2003 CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. Typed or printed name Eunhee Park

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Signature

Date

November 5, 2003

56129861-26



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#21

Appl. No.

09/349,198

Confirmation No. 6293

Applicant

Christopher F. Parker

Filed

July 7, 1999

TC/A.U.

3624

Examiner

Ella Colbert

Docket No.

56129861-26

Customer No.

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INFORMATION DISCLOSURE STATEMENT

SIR:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56 and in conformance with the procedures of 37 C.F.R. §§ 1.97 and 1.98 and M.P.E.P. § 609, attorneys for the Applicant hereby bring the following reference in the above-identified application to the attention of the Examiner. The reference is listed on the attached modified Form No. PTO/SB08B. A copy of the Supplementary European Search Report citing this reference is also enclosed. (The other reference, U.S. Patent No. 5,887,274, from the Supplementary European Search Report was filed electronically in an Information Disclosure Statement in this application today.) It is respectfully requested that the information be expressly considered during the prosecution of this application and that the reference be made of record therein and appear

CERTIFICATE OF MAILING

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Eunhee Park (Reg. No. 42,976)

among the "References Cited" on any patent to issue therefrom. A copy of the reference is enclosed.

This information disclosure statement is being filed under 37 C.F.R. § 1.97(b)(4) before the mailing of a first Office Action after the filing of a request for continued examination under §1.114.

No fee is believed due. However, if a fee is deemed due, the Commissioner of Patents and Trademarks is hereby authorized to charge any fees or credit any overpayments that may be necessary to Deposit Account No. 02-0393 of Baker & McKenzie.

Respectfully submitted,

Eunhee Park

Registration No. 42,976

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Substitute for form 1449/PTO			Complete if Known			
			Application Number	09/349,198		
INFORM	ATION DIS	CLOSURE	Filing Date	July 7, 1999		
(Use as many sheets as necessary)			First Named Inventor	Christopher F. Parke		
			Art Unit	3624		
			Examiner Name	Ella Colbert		
Sheet 1	of	1	Attorney Docket Number	56129861-26	$\overline{\mathcal{I}}$	

Examiner	Cite	NON PATENT LITERATURE DOCUMENTS Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of	1				
Examiner Initials*	No. ¹	the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²				
	1	MOHAN, C. et al., "Aries: A transaction Recovery Method Supporting Fine-Granularity Locking and Partial Rollbacks Using Write-Ahead Logging"					
		ACM Transactions on Database Systems, Association for Computing Machinery. New York, US, Vol. 17, No. 1, March 1992 (1992-03), pages 94-162, XP001098645, ISSN: 0362-5915.					
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Examiner	-	Date	
Signature		Considered	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: